

# Dirac operators in symplectic and contact geometry - a problem of infinite dimension

Svatopluk Krýsl

Charles University in Prague

Będlewo, October 22, 2015

- 1 Review of Hodge theory
- 2 Metaplectic structures
- 3  $C^*$ -algebras
- 4 Hilbert modules over  $C^*$ -algebras
- 5 Complexes in Hilbert bundles over  $C^*$ -algebras

# Statements of the Hodge theory

- $(E^i \rightarrow M)_{i \in \mathbb{Z}}$  sequence of finite rank vector bundles over compact manifold
- $D_i : \Gamma(E^i) \rightarrow \Gamma(E^{i+1})$  (pseudo-)differential operators forming a complex  $D^\bullet = (\Gamma(E^i), D_i)_{i \in \mathbb{Z}}$ ,  $D_{i+1}D_i = 0$
- principal symbols  $\sigma_i(\xi, -) : E^i \rightarrow E^{i+1}$  form a complex
- If  $\sigma_i(\xi, -)$  form an exact sequence for any  $0 \neq \xi \in T^*M \implies$  **elliptic complexes**, then
- $H^i(E^\bullet)$  is finite dimensional and
- $H^i(E^\bullet) \simeq \text{Ker } \Delta_i$  where  $\Delta_i = D_i^*D_i + D_{i-1}D_{i-1}^*$ . The adjoint is with respect to the inner product induced by a metric on  $E^i$

## Examples of complexes satisfying the results of Hodge theory

- deRham complex over a compact manifold,  $\sigma_i(\xi, \alpha) = \xi \wedge \alpha$
- Dolbeault complex on a compact complex manifold
- Not an example: deRham complex over  $\mathbb{R}^n$ ,  $H^0(\mathbb{R}^n) = \mathbb{R}$  and  $\text{Ker } \Delta_0$  is infinite dimensional (already when restricted to polynomials and  $n = 2 : 1; x, y; x^2 - y^2, 2xy$  - Weyl duality)
- "Brilinsky complex over a compact symplectic manifold and Laplace defined via adjoints with respect to the symplectic form - not an example from a simple reason  $\Delta_i = 0$ "
- $\mathbb{E}$  infinite dimensional Hilbert space,  $M$  compact manifold  $E = \mathbb{E} \times M \rightarrow M$ ,  $\nabla : \Gamma(E) \rightarrow \Gamma(E \otimes T^*M)$  trivial connection,  $\nabla s = 0$ ; kernel are constant functions with values in  $\mathbb{E}$ . Kernel is  $\{s \in \Gamma(E) \mid \exists e \in \mathbb{E} \forall m \in M s(m) = (e, m)\} \simeq \mathbb{E}$  - thus infinite dimensional.

# Key steps in the proof of Hodge theory

- Construction of the Green operators for the extensions  $\widetilde{\Delta}_i$  of  $\Delta_i$  to the Sobolev spaces
  - orthogonal projections
  - proof that the extensions are Fredholm
- Completion of the pre-Hilbert  $\Gamma(E^i) \implies$  Sobolev or Hardy spaces with values in vector spaces (they are Hilbert spaces)
- Continuous extensions of  $D_i$  and  $\Delta_i$  to the completions (diff ops are of finite integer order)
- Elliptic implies regular ( $\text{Ker}(\Delta_i) = \text{Ker}(\widetilde{\Delta}_i)$ )
- Elliptic implies extension is Fredholm

- 1 Review of Hodge theory
- 2 Metaplectic structures**
- 3  $C^*$ -algebras
- 4 Hilbert modules over  $C^*$ -algebras
- 5 Complexes in Hilbert bundles over  $C^*$ -algebras

# Metaplectic or Segal-Shale-Weil representation

- $(V, \omega)$  symplectic vector space of dimension  $2n$
- $Sp(V, \omega)$ , connected smooth double covering  $G = Mp(V, \omega)$  unique up to a covering isotopy
- non-universal since  $U(n)$  is a maximal compact subgroup of  $Sp(V, \omega)$  and  $\pi_1(U(n)) = \mathbb{Z}$
- $G$  can be given a Lie group structure (unique, making the covering a group homomorphism)
- Parallel to  $SO(p, q)$  and  $Spin(p, q)$
- $Mp(V, \omega)$  is not a matrix group, no faithful representation in finite dimensional vector space

# Segal-Shale-Weil representation

- $L$  Lagrangian subspace in  $V$ ,  $J$  a complex structure on  $(V, \omega)$ , metric  $g(-, -) = \omega(J-, -)$
- $\mathbb{E} = L^2(L)$  for the Lebesgue measure induced by  $g|_L$
- $\sigma : G \rightarrow \text{Aut}(\mathbb{E})$  Segal-Shale-Weil representation
- Construction: Schrödinger representation of the Heisenberg group
- Stone-Neumann theorem on uniqueness of representation of the Heisenberg group up to multiple
- "co-cycle counting" of Weil
- $\sigma(J) = \pm \mathcal{F} : L^2(L) \rightarrow L^2(L)$



# Properties of the Segal-Shale-Weil representation

- It is faithful, unitary, reducible

$$\mathbb{E} = L^2(L) = L^2(L)_{\text{even}} \oplus L^2(L)_{\text{odd}}$$

- Lie alg. rep. is highest weight, parallel to spin representations via realizing it in the symplectic Clifford algebra
$$sCliff(V, \omega) = T(V) / \langle x \otimes y - y \otimes x - \omega(x, y)1, x, y \in V \rangle$$
- V. Berezin, V. Bargmann (Fock space), I. Segal, Shale (quantizing of KG-fields), Weil (number theory for locally compact fields)

# Metaplectic structures

## Metaplectic structures

- $(M, \omega)$  symplectic manifold
- $P = \{f \mid f \text{ is a symplectic basis of } (T^*M, \omega_m), m \in M\}$
- $Q \rightarrow M$  any  $Mp(2n, R)$ -bundle compatible with the projection structures is called **metaplectic structure**

Basic exmples: even dimensional tori,  $S^2$ ,  $\mathbb{C}P^{2n+1}$ ,  $T^*M$  cotangent bundle of orientable manifold  $M$ .

**Theorem** (Kostant):  $(M, \omega)$  admits a metaplectic structure iff the Chern class of  $(TM, J)$  is even for any  $J$  almost complex compatible.

There is a notion of an  $Mp^c$  structure which exists on any symplectic manifold (Rawnsley, Gutt, Cahen)

## Some a priori constructions

- $(M, \omega)$  symplectic manifold admitting a metaplectic structure  $\mathcal{P} \rightarrow M$
- $E = \mathcal{P} \times_{\sigma} \mathbb{E}$  **Segal-Shale-Weil bundle** (Kostant)
- sections  $\Gamma(\mathbb{E})$  Kostants spinors
- $\nabla$  symplectic connection  $\implies Z$  principal connection on  $\mathcal{Q} \implies$  lift on  $\mathcal{P} \implies \nabla^E$  associated connection on  $E \implies$  exterior covariant derivative  $d_k^{\nabla}$  on  $\Omega^k(E)$  **-forms with values in the SSW-bundle**
- If  $\nabla$  is flat, then  $(d_k^{\nabla}, \Omega^k(E))$  forms a complex - **SSW twisted de Rham complex**

# Symplectic Dirac operators of Habermann

$p : L^2(L) \otimes \mathbb{R}^{2n} \simeq L^2(L) \oplus T \rightarrow L^2(L)$ ,  $T$  is a  $G$ -module  
 $L^2(L) = \mathbb{E}$  the Segal-Shale-Weil representation

- $(M^{2n}, \omega)$  symplectic manifold admitting a metaplectic structure
- $Ds = p \circ \nabla^E s$ ,  $s \in \Gamma(E)$
- $Ds = \sum_{i=1}^{2n} e_i \cdot \nabla_{e_i}^E s$
- $e_i \cdot s = ix^i s$ ,  $e_{i+n} \cdot s = \frac{\partial s}{\partial x^i}$  (defined on dense subset, smooth vectors of  $\mathbb{E}$ )

# Contact projective Dirac operator

$G = Mp(2n, \mathbb{R})$ ,  $P$  parabolic subgroup of contact grading, i.e.,

$$\mathfrak{p} = \mathfrak{sp}(2n - 2, \mathbb{R}) \oplus \mathbb{R}^{2n-2} \oplus \mathbb{R}$$

$(\mathcal{G} \rightarrow M, \omega)$  any Cartan geometry of type  $(G, P)$

$G_0 = Mp(2n - 2, \mathbb{R}) \times \mathbb{R}^\times$  (reductive part)

$$\mathbb{E}' = L^2(\mathbb{R}^{2n-2})$$

Extension of the SSW-representation  $\sigma : Mp(2n - 2, \mathbb{R}) \rightarrow \text{Aut}(\mathbb{E}')$

to  $P$ ;  $\mathbb{R}^{2n-2}$  acts by identity, on  $\mathbb{R} \setminus \{0\}$  by a character -  $\sigma'$

$$E' = \mathcal{G} \times_{\sigma'} \mathbb{E}'$$

$Ds = p(\nabla^{\omega, E'} s)$  contact projective Dirac operator,  $\nabla^{\omega, E'}$

connection associated to  $E'$  via  $\sigma'$

# Generalization Hodge theory to infinite dimension

- Our aim: generalize the Hodge theory to infinite dimension
- $\mathbb{E}$  infinite dimensional Hilbert space,  $M$  compact manifold  
 $E = \mathbb{E} \times M \rightarrow M$ ,  $\nabla : \Gamma(E) \rightarrow \Gamma(E \times T^*M)$  trivial connection,  
 $\nabla s = 0$ ; kernel are constant functions with values in  $\mathbb{E}$ . Kernel  
 is  $\{s \mid \exists e \forall m s(m) = (e, m)\} \simeq E$  - thus infinite dimensional.
  - Do the fibers cause it?
  - Solutions are somehow "finite over  $E$ ".
- What if  $\mathbb{E}$  is a Banach space only, no inner product structures,  
 cannot produce the Green operators via self adjoint projections
- $\mathbb{E}$  a Hilbert module over the  $C^*$ -algebra  $B(\mathbb{E}) \implies$  Hilbert  
 modules over  $C^*$ -algebras

$\mathbb{E}^*$  as a  $B(H)$ -module

- Multiplication

$$\cdot : \mathbb{E}^* \times B(\mathbb{E}) \rightarrow \mathbb{E}^*, l \cdot a = l \circ a, l \in \mathbb{E}^* \text{ and } a \in B(\mathbb{E})$$

- "Inner product"

$$(\cdot, \cdot) : \mathbb{E}^* \times \mathbb{E}^* \rightarrow B(\mathbb{E}), (k, l) \in B(\mathbb{E}) \text{ defined by}$$

$$(k, l)(v) = l(v)k^* \text{ for any } v \in \mathbb{E} \text{ where } k^* \in \mathbb{E} \text{ such that}$$

$$(k^*, v)_{\mathbb{E}} = k(v) \text{ (unique)}$$

- Note:  $(\cdot, \cdot)$  maps into  $F(\mathbb{E}) \subseteq K(\mathbb{E}) \subset B(\mathbb{E})$

- 1 Review of Hodge theory
- 2 Metaplectic structures
- 3  $C^*$ -algebras**
- 4 Hilbert modules over  $C^*$ -algebras
- 5 Complexes in Hilbert bundles over  $C^*$ -algebras



# $C^*$ -algebra (J. von Neumann, I. M. Gelfand, Naimark, I.E. Segal)

- $A$  is a complex associative algebra ( $za, a + b, ab$ , distributive)
- $|| : A \rightarrow [0, \infty)$  is a norm on  $A$  making  $A$  a Banach space
- $* : A \rightarrow A$  is an involutive antihomomorphism of  $A$
- $|a^*a|^2 = |a|^2$  for each  $a \in A$
  
- If  $1 \in A$ ,  $\lambda \in \mathbb{C}$  is a **spectral element** of  $a \in A :=$  if  $(a - \lambda 1)$  is not invertible in  $A$
- $1 \notin A$ , make extension  $A' = A \oplus \mathbb{C}1$ , the spectrum of  $a$  is defined by non-invertibility in  $A'$
- We say that  $a = a^*$  is positive if any spectral element  $\lambda$  of  $a$  is non-negative

# Examples of $C^*$ algebras

- $\mathbb{C}$  with  $z^* = \bar{z}$  and  $|a + ib| = (a^2 + b^2)^{1/2}$
- $(\mathbb{E}, (\cdot, \cdot)_{\mathbb{E}})$  Hilbert space

$$B(\mathbb{E}) = \{a : \mathbb{E} \rightarrow \mathbb{E} \mid a \text{ linear and bounded}\}$$

$$(a^* v, w) = (v, aw), \quad |a| = \sup_{|v|=1} |a(v)|_H$$

- $M_n(\mathbb{C})$  is  $B(\mathbb{E})$  for  $\mathbb{E} = \mathbb{C}^n$  with the Hermitian norm on  $\mathbb{C}^n$
- $K(\mathbb{E}) \subseteq B(\mathbb{E})$  algebra of compact operators on  $\mathbb{E}$
- $X$  topological space, continuous functions with compact support  $\mathcal{C}_c(X)$  with  $f^*(x) = \overline{f(x)}$  and the supremum norm (non-unital)
- $X$  locally compact topological space, continuous functions vanishing at infinity; operations as above

# Not $C^*$ algebras

Which algebras are not  $C^*$ ?

- $\mathbb{E}$  infinite dimensional Hilbert space  $F(\mathbb{E})$  linear operators  
 $F(\mathbb{E}) \subseteq B(\mathbb{E})$  operators with finite rank (star not well defined)
- $S(\mathbb{E}) \subseteq B(\mathbb{E})$  self-adjoint operators;  $(ab)^* = b^*a^* = ba \neq ab$   
 if  $\dim \mathbb{E} > 1$  - Jordan algebras
- Several  $L^p(\mathbb{R}^n)$ ,  $f^*(x) = \overline{f(x)}$ ,  $\|f\|_p = \int_{\mathbb{R}^n} |f|^p d\lambda$  with  
 multiplication convolution (point-wise multiplication, not even  
 an algebra)

- 1 Review of Hodge theory
- 2 Metaplectic structures
- 3  $C^*$ -algebras
- 4 Hilbert modules over  $C^*$ -algebras**
- 5 Complexes in Hilbert bundles over  $C^*$ -algebras

# Definition of Hilbert $A$ -modules

## Definition

Let  $A$  be a  $C^*$ -algebra and  $\mathbb{E}$  be a vector space over the complex numbers. We call  $(\mathbb{E}, (\cdot, \cdot))$  a Hilbert  $A$ -module if

$\mathbb{E}$  is a right  $A$ -module – operation  $\cdot : \mathbb{E} \times A \rightarrow \mathbb{E}$

$(\cdot, \cdot) : \mathbb{E} \times \mathbb{E} \rightarrow A$  is a  $\mathbb{C}$ -bilinear mapping

$$(u, v + \lambda w) = (u, v) + \lambda(u, w)$$

$$(u, v \cdot a) = (u, v)a \text{ - at right is the the product in } A$$

$$(u, v)^* = (v, u)^*$$

$$(u, u) \geq 0 \text{ and } (u, u) = 0 \text{ implies } u = 0$$

# Definition of Hilbert and pre-Hilbert $A$ -modules

## Definition

If  $(\mathbb{E}, (\cdot, \cdot))$  is a pre-Hilbert  $A$ -module we call it **Hilbert  $A$ -module** if it is complete with respect to the norm  $\|\cdot\| : \mathbb{E} \rightarrow [0, \infty)$  defined by  $u \in \mathbb{E} \mapsto \|u\| = \sqrt{|(u, u)|_A}$  where  $|\cdot|_A$  is the norm in  $A$ .

- Closed submodules need to have neither orthogonal nor only topological complements:  $\mathcal{C}^0((0, 1)) \subseteq \mathcal{C}^0([0, 1])$
- Continuous linear maps need not be adjointable  
( $= (T^*u, v) = (u, Tv)$ )
- $\text{Aut}_A(\mathbb{E}) = \{T : \mathbb{E} \rightarrow \mathbb{E} \mid T(u \cdot a) = T(u) \cdot a, T \text{ is continuous and bijective}\}$

## Examples of Hilbert $A$ -modules

- For  $A = \mathbb{C}$ , a Hilbert  $\mathbb{C}$ -module is = Hilbert space
- For  $A$  a  $C^*$ -algebra,  $\mathbb{E} = A$ ,  $a \cdot b = ab$  and  $(a, b) = a^*b$ .
- For  $A = K(\mathbb{E})$ , the  $C^*$ -algebra of bounded operators on a separable Hilbert space  $\mathbb{E}$ ,  $\mathbb{E}^*$  is a Hilbert  $A$ -module with respect to  $(, ) : \mathbb{E}^* \times \mathbb{E}^* \rightarrow K(\mathbb{E})$  as above.
- $A = \mathbb{E} = C^0([0, 1])$ ,  $(f \cdot g)(x) = f(x)g(x)$ ,  
 $(f, g) = fg \in C^0([0, 1])$
- $\ell^2(A) = \{(a_i)_{i=1}^\infty \subseteq A \mid \sum_i |a_i|^2 < \infty\}$ ,  $(a_i)_i \cdot b = (a_i \cdot b)_i$ ,  
 $((a_i)_i, (b_i)_i) = \sum_i a_i^* b_i$ .
- Sections of  $A$ -Hilbert bundles over compact manifolds form pre-Hilbert modules. (A. S. Mishchenko, A. T. Fomenko, champs contunud des algebres  $C^*$ ; construction of Sobolev type completions of the section spaces)

# Generalization of the Fredholm property

- $F_{u,v}(w) = u \cdot (v, w)$ ,  $F : \mathbb{E} \rightarrow \mathbb{E}$
- $A$ -finite rank any of form  $\mathbb{E} \ni v \mapsto \sum_i^n \lambda_i F_{u_i, v_i}(v)$  for some  $u_i, v_i \in \mathbb{E}$  and  $\lambda_i \in \mathbb{C}$
- $A$ -compact operators = closure of  $A$ -finite rank, ( $\mathbb{E}$  is Banach,  $B(\mathbb{E})$  is a normed space with the operator norm)
- $A$ -Fredholm = invertible modulo  $A$ -compact
- The image of  $A$ -Fredholm need not be closed ( $F$   $\mathbb{C}$ -Fredholm implies  $M/\text{Im } F < \infty$  implies  $\text{Im } F$  is closed)  
 $Ff = xf$ ,  $A = \mathbb{E} = C^0([0, 1])$  - counterexample:  $A$ -Fredholm but not closed range



- 1 Review of Hodge theory
- 2 Metaplectic structures
- 3  $C^*$ -algebras
- 4 Hilbert modules over  $C^*$ -algebras
- 5 Complexes in Hilbert bundles over  $C^*$ -algebras**

# Bundles of Hilbert modules

## Definition

$p : E \rightarrow M$ ,  $\mathcal{A}$  is called an  $A$ -Hilbert bundle if

- there exists a Hilbert  $A$ -module  $(\mathbb{E}, (\cdot, \cdot))$
- $p$  is a smooth Banach bundle with typical fiber  $\mathbb{E}$  and  $\mathcal{A}$  is (a maximal) smooth bundle atlas of  $p$
- the transition maps of the atlas are maps into  $\text{Aut}_A(\mathbb{E})$

**Example:** the Segal-Shale-Weil bundle  $E = \mathcal{P} \times_{\sigma} \mathbb{E}$  over a symplectic manifold admitting a metaplectic structure.

Atlas - any atlas containing the global trivialization map (Kuiper thm.  $\implies$  exists) Take any maximal atlas from the (nonempty) set of atlases containing this trivialization.

## Key technical tool

**Theorem** [Fomenko, Mishchenko, 1979]: Let  $A$  be a  $C^*$ -algebra,  $M$  a compact manifold and  $E \rightarrow M$  be finitely generated projective  $A$ -Hilbert bundle over  $M$ . If  $D$  is an elliptic operator, its extension is an  $A$ -Fredholm operator. In particular,  $\text{Ker } D$  is a finitely generated projective Hilbert  $A$ -module.

Generalization of the procedures from parametrix construction for elliptic operators on compact manifolds. However, no results connected to cohomologies, their topology (closedness of operator images) and their projective/finite properties.

# Complexes and their cohomology groups

**Theorem** [Krysl, J. Geom. Phys. (accepted)]: Let  $A = K(\mathbb{E})$  be the algebra of compact operators and  $M$  be a compact manifold and  $D^\bullet = (\Gamma(E^i), D_i)_i$  be an elliptic complex on finitely generated projective  $K(\mathbb{E})$ -Hilbert bundles over  $M$ . Then

- $H^i(D^\bullet) \simeq \text{Ker } \Delta_i$  and it is finitely generated projective Hilbert  $K(\mathbb{E})$ -module
- $\Gamma(E^i) = \text{Ker } \Delta_i \oplus \text{Im } d_{i-1} \oplus \text{Im } d_i^*$
- $\text{Im } \Delta_i = \text{Im } d_{i-1} \oplus \text{Im } d_i^*$ . In particular images of all operators involved are closed.

# Complexes and their cohomology properties

**Theorem** [Krysl, J. Global. Analysis Geom.]: Any elliptic complex of operators  $D_i, i \in \mathbb{Z}$  in sections of finitely generated projective Hilbert bundles  $E^i$  over a compact manifold whose Laplace operators have a closed image satisfies

- $H^i(D^\bullet) \simeq \text{Ker } \Delta_i$  and it is finitely generated projective Hilbert modules
- $\Gamma(E^i) = \text{Ker } \Delta_i \oplus \text{Im } D_{i-1} \oplus \text{Im } D_i^*$
- $\text{Im } \Delta_i = \text{Im } d_{i-1} \oplus \text{Im } d_i^*$

# Application






(in progress)







**Theorem:** Let  $M$  be a symplectic manifold equipped with a metaplectic structure and  $\nabla^E$  be a connection for which the associated Segal-Shale-Weil bundle  $E \rightarrow M$  is trivial. The cohomology groups of the SSW-twisted deRham complex  $(\Omega^k(E), d_k^{\nabla})_k$  are isomorphic to the kernels of the associated Laplace operators and they are finitely generated projective  $K(\mathbb{E})$ -Hilbert modules.

Paralelly for the contact projective case.

**Theorem:** Let  $(M, \omega)$  admitting a metaplectic structure be symplectic manifold and  $\nabla$  be a symplectic connection. Then the kernel of the Habermann Dirac operator is a finitely generated projective  $K(\mathbb{E})$ -module.

Question: Do we have  $H^k(\Omega^k(E)) \simeq H_{deRham}^k(M) \otimes K(\mathbb{E})$ .

-  Fomenko, A., Mishchenko, A., The index of elliptic operators over  $C^*$ -algebras, *Izv. Akad. Nauk SSSR, Ser. Mat.* 43, No. 4, 1979, pp. 831–859, 967.
-  Habermann, K., Basic Properties of Symplectic Dirac Operators , *Comm. Math. Phys.* 184 (1997) 629–652
-  Habermann, K., Habermann, L., Introduction to Symplectic Dirac Operators, Springer-Verlag, Berlin-Heidelberg 2006
-  Jordan, P., von Neumann, J., Wigner, E., On an algebraic generalization of the quantum mechanical formalism, *Ann. of Math.* (2) 35 (1934), No. 1, 29–64.
-  Kostant B., Symplectic Spinors , In: *Symposia Mathematica*, Vol. XIV (Convegno di Geometria Simplettica e Fisica Matematica, INDAM, Rome, 1973), Academic Press, London 1974, pp 139–152

-  Krýsl, S., Cohomology of the de Rham complex twisted by the oscillatory representation, *Diff. Geom. Appl.*, Vol. 33 (Supplement), 2014, pp. 290–297.
-  Krýsl, S., Hodge theory for elliptic complexes over unital  $C^*$ -algebras, *Annals Glob. Anal. Geom.*, Vol. 45(3), 2014, 197–210. DOI 10.1007/s10455-013-9394-9.
-  Krýsl, S., Analysis over  $C^*$ -Algebras, *Journ. of Geometry and Symmetry in Physics*, Vol. 33, 2014.
-  Robinson P., Rawnsley J., The Metaplectic Representation, *Mpc Structures and Geometric Quantization*, *Mem. Amer. Math. Soc.* 81 (1989).
-  Solovyov, Y., Troitsky, E.,  $C^*$ -algebras and elliptic operators in differential topology. *Transl. of Mathem. Monographs*, 192, AMS, Providence, Rhode-Island, 2001.
-  Vaisman I., Symplectic Curvature Tensors, *Monatsh. Math.* 100 (1985) 299–327





Weil, A., Weil A., Sur Certains Groups d'Operateurs Unitaires ,  
Acta Math. 111 (1964) 143–211